intro: the why of normative approaches 12:00 - 1:00 part 1: sensory coding part 2: inference 1:20 - 2:20 part 3: action selection 2:40 - 3:40 hands-on problems 3:40 - 4:00 outlook

# Hilton Honors Meeting Wifi csnventi20

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# TAs

A wing would be a most mystifying structure if one did not know that birds flew. One might observe that it could be extended a considerable distance, that it had a smooth covering of feathers with conspicuous markings, that it was operated by powerful muscles, and that strength and lightness were prominent features of its construction. These are important facts, but by themselves they do not tell us that birds fly. Yet without knowing this, and without understanding something of the principles of flight, a more detailed examination of the wing itself would probably be unrewarding.

# Horace Barlow, 1961



























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random

















AMH, Briguglio, Conte, Victor, Balasubramanian & Tkacik 2014

# you should be more sensitive to visual features that are more variable

# claim:

# ... because they are more informative

# claim: variable you should be more sensitive to visual features ...

# performance advantage

lawfulness of the world

# ... because they are more informative

# the world is lawful

- reduce redundancy / build compact representations
  - combat noise / correct errors
  - resolve ambiguities / reduce uncertainty
  - make predictions / improve future performance

animals & brains can exploit the *lawfulness of the world* to achieve a *performance advantage* 

how might a system do this?

# the normative approach

lawfulness of the world performance of a system

specify: function to be performed [maximizing information about patterns] context in which function will be performed [ natural visual world ] constraints on the system that performs this function bandwidth & [precision, accuracy, speed, energy, ...] noise constraints ]

determine best solution for achieving particular function [ tune sensitivity to variability ] in particular context & subject to particular constraints











# structure to be exploited [& constraints to be met] inference prediction action



# combat noise



# PART 1

# remove redundancy combat noise



# PART 2 resolve ambiguity

# PART 1

# remove redundancy combat noise



# **PART 2** resolve ambiguity

# PART 3 make & use predictions



# PART 1

# remove redundancy combat noise



When we begin to consider perception as an information-handling process, it quickly becomes clear that much of the information received by any higher organism is redundant.

[this means that] if we know at a given moment the states of a limited number of receptors (i.e., whether they are firing or not firing), we can make better-than-chance inferences with respect to the prior and subsequent states of these receptors, and also with respect to the present, prior, and subsequent states of other receptors.

precisely equivalent to an [this is] assertion that the world as we know it is lawful.

It appears likely that a major function of the perceptual machinery is to strip away some of the redundancy of stimulation, to describe or encode incoming information in a form more economical than that in which it impinges on the receptors.



# Barlow's redundancy reduction hypothesis

maximize response entropy OR minimize redundancy

recoding

('encoding')

input message 'stimulus s'





Horace Barlow 1961



average # yes/no questions needed to determine output with certainty











# entropy

average # yes/no questions needed to determine output with certainty



 $= #Q_{A*}P_A + #Q_{B*}P_B + #Q_{C*}P_C + #Q_{D*}P_D$ 

 $H_1 = 2^*(1/4) + 2^*(1/4) + 2^*(1/4) + 2^*(1/4)$ = 2 [bits]

 $H_2 = ?$ 

1/8

D



# entropy

average # yes/no questions needed to determine output with certainty

- =  $\sum_{peroption} * probability$  of option
- $= #Q_{A^*}P_A + #Q_{B^*}P_B + #Q_{C^*}P_C + #Q_{D^*}P_D$
- $H_1 = 2^*(1/4) + 2^*(1/4) + 2^*(1/4) + 2^*(1/4)$ = 2 [bits]

 $H_2 = 1.75$  [bits]

$$# Q = -\log_2 P$$
$$H = -\sum P \log_2 P$$

# )\*PD (1/4)







average # yes/no questions needed to determine output with certainty


goal: maximize information

maximize response entropy



## "classic" efficient coding hypothesis (low input noise)

$$(R; S) = H(R) - H(R|S)$$
  
0 low input noise  
 $= H(R)$ 

Horace Barlow 1961







### stimulus





#### stimulus





#### stimulus

#### — stimulus ——



### Simon Laughlin 1981





"histogram equalization"









 $r(x,y) \propto k(x,y) \circledast s(x,y)$ 

response

stimulus linear filter



## $r(x,y) \propto k(x,y) \circledast s(x,y)$





### spatial location

Srinivasan, Laughlin, & Dubs 1982 Atick & Redlich 1990

Dan, Atick, Reid 1996





van Hateren & Ruderman, 1998



 $r(x,y) \propto k(x,y) \circledast s(x,y)$ Fourier transform  $|R(f)|^2 \propto |K(f)|^2 \cdot |S(f)|^2$ 



stimulus -

log(spatial frequency)





Log<sub>10</sub> spatial frequency

Field 1987









Atick & Redlich 1992







log(power) [aka variability]

van Hateren 1992

## $|R(f)|^2 \propto |K(f)|^2 \cdot |S(f)|^2$



log(spatial frequency)

Atick & Redlich 1992







van Hateren 1992

AMH, Briguglio, Conte, Victor, Balasubramanian, Tkacik 2012



## PART 1

### remove redundancy combat noise





## PART 1

# remove redundancy combat noise



## PART 2 resolve ambiguity

## back at 1:20

## PART 1

# remove redundancy combat noise



## PART 2 resolve ambiguity















stimulus feature













#### context dynamics

$$P(\theta_{t}|\theta_{t-1}) = \\ \theta_{L} \begin{bmatrix} (1-p_{s}) & p_{s} \\ p_{s} & (1-p_{s}) \end{bmatrix} \\ \theta_{L} & \theta_{H} \end{bmatrix}$$

#### stimulus distribution

$$P(s_t | \theta_t) = \mathcal{N}(s_t; \theta_t, \sigma^2)$$



DeWeese & Zador, 1998



 $P(\theta_t | s_t, s_{\tau < t})$ 

wants to estimate

- P(A,B) = P(B,A)
- P(A|B)P(B) = P(B|A)P(A)
- Bayes Rule P(A|B) = -

 $P(s_t|\theta_t), P(\theta_t|\theta_{t-1})$ 

#### knows

P(B, A)P(B|A)P(A)P(B|A) P(A)P(B)

 $P(\theta_t | s_t, s_{\tau < t})$ 

wants to estimate

#### P(A|B,C) =**Bayes Rule**

# $P(\boldsymbol{\theta_t}|s_t, s_{\tau < t}) = \frac{P(s_t|\boldsymbol{\theta_t}, s_{\tau < t}) P(\boldsymbol{\theta_t}|s_{\tau < t})}{P(\boldsymbol{\theta_t}|s_{\tau < t})}$

 $P(s_t|\theta_t), P(\theta_t|\theta_{t-1})$ 

#### knows

## P(B|A,C) P(A|C)P(B|C)

 $P(s_t | s_{ au < t})$ 

 $A = \theta_t$  $B = s_t$  $C = s_{\tau < t}$ 

 $P(\boldsymbol{\theta_t}|s_t, s_{\tau < t}) = \frac{P(s_t|\boldsymbol{\theta_t}) \boldsymbol{s_t < t}}{P(\boldsymbol{\theta_t}|s_{\tau < t})} P(\boldsymbol{\theta_t}|s_{\tau < t})$ 

 $P(s_t | s_{ au < t})$ 

 $\sum_{\substack{\boldsymbol{\theta}_t}} P(\boldsymbol{\theta}_t | \boldsymbol{s}_t, \boldsymbol{s}_{\tau < t}) = 1 = \sum_{\substack{\boldsymbol{\theta}_t}} \frac{P(\boldsymbol{s}_t | \boldsymbol{\theta}_t) P(\boldsymbol{\theta}_t | \boldsymbol{s}_{\tau < t})}{P(\boldsymbol{s}_t | \boldsymbol{s}_{\tau < t})}$ 

 $P(s_t|s_{\tau < t}) = \sum_{o} P(s_t|\theta_t) P(\theta_t|s_{\tau < t})$  $= \Omega$ 

 $P(\theta_t | s_t, s_{\tau < t}) = \frac{P(s_t | \theta_t) P(\theta_t | s_{\tau < t})}{P(s_t | s_{\tau < t})}$ 

## \* $P(\theta_t | s_{\tau < t}) = P(\theta_t | s_{\tau < t})$

 $P(\boldsymbol{\theta_t}|s_t, s_{\tau < t}) = \frac{1}{\Omega} P(s_t|\boldsymbol{\theta_t}) P(\boldsymbol{\theta_t}|s_{\tau < t}) \\ *$ 

 $P(\boldsymbol{\theta_t}|\boldsymbol{s_t}, \boldsymbol{s_{\tau < t}}) = \frac{1}{\Omega} P(\boldsymbol{s_t}|\boldsymbol{\theta_t}) P(\boldsymbol{\theta_t}|\boldsymbol{s_{\tau < t}})$ 

## \* $P(\theta_t | s_{\tau < t}) = \sum P(\theta_t | \theta_{t-1}, s_{t}) P(\theta_{t-1} | s_{\tau < t})$ $\theta_{t-1}$

 $P(\boldsymbol{\theta_t}|s_t, s_{\tau < t}) = \frac{1}{\Omega} P(s_t|\boldsymbol{\theta_t}) P(\boldsymbol{\theta_t}|s_{\tau < t})$ 

\*  $P(\theta_t | s_{\tau < t}) = \sum P(\theta_t | \theta_{t-1}) P(\theta_{t-1} | s_{\tau < t})$  $\theta_{t-1}$ 

 $P(\theta_{t-1}|s_{t-1},s_{ au < t-1})$ 



## (1) $P_t^L \equiv P(\theta_t = \theta_L | s_t, s_{\tau < t})$ $\overline{P_t^H} = (1 - P_t^L)$

(2)  $\mathcal{N}(s_t; \theta_t = \theta_L, \sigma^2)$ 



3.

changing context  $\theta_t$ 

$$\begin{array}{c|c} \bullet & \bullet_{L} = \theta_{L} \begin{bmatrix} (1-p_{s}) & p_{s} \\ \theta_{H} \begin{bmatrix} p_{s} & (1-p_{s}) \end{bmatrix} \\ \theta_{H} \begin{bmatrix} p_{t-1} \\ \theta_{L} \end{bmatrix} \\ \theta_{H} \end{bmatrix} \\ \begin{array}{c} \theta_{H} \end{bmatrix} \\ \theta_{H} \begin{bmatrix} p_{t-1} \\ (1-p_{t-1}) \\ \theta_{H} \end{bmatrix} \\ \begin{array}{c} \theta_{H} \end{bmatrix} \\ \theta_{H} \end{bmatrix} \\ \begin{array}{c} \theta_{H} \end{bmatrix} \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array}$$



stimulus feature  $s_t$ 





# $\begin{array}{|c|c|} \hline 1 & P_t^L \equiv P(\theta_t = \theta_L | s_t, s_{\tau < t}) \\ & P_t^H = (1 - P_t^L) \end{array}$

(2)  $\mathcal{N}(s_t; \theta_t = \theta_L, \sigma^2)$ 



3.

changing context  $\theta_t$ 

$$\begin{array}{c} \theta_{t} \\ \end{pmatrix} \sum_{\theta_{t-1}} P(\theta_{t} | \theta_{t-1}) P(\theta_{t-1} | s_{\tau < t}) \\ \theta_{t-1} \\ \end{array}$$

$$\begin{array}{c|c} \textcircled{4} & \theta_t = \theta_L \begin{bmatrix} (1-p_s) & p_s \\ p_s & (1-p_s) \end{bmatrix} \theta_L \begin{bmatrix} P_{t-1}^L \\ \theta_H \end{bmatrix} \\ \theta_H \begin{bmatrix} (1-P_{t-1}^L) \\ \theta_H \end{bmatrix} \\ = \begin{bmatrix} (1-p_s) & P_{t-1}^L \end{bmatrix} P_s (1-P_{t-1}^L) \\ \end{array}$$

$$egin{array}{ccc} heta_L & heta_H \ heta_L & heta_H \ heta_L & heta_H \ heta_L & heta_L & heta_H \ heta_L & heta_L & heta_L & heta_L \ heta_L & heta_L & heta_L \ heta_L & heta_L & heta_L & heta_L & heta_L \ heta_L & heta_$$

stimulus feature  $s_t$ 



 $P(\boldsymbol{\theta_t}|s_t, s_{\tau < t}) = \frac{1}{\Omega} P(s_t|\boldsymbol{\theta_t}) \sum_{\boldsymbol{\theta_{t-1}}} P(\boldsymbol{\theta_t}|\boldsymbol{\theta_{t-1}}) P(\boldsymbol{\theta_{t-1}}|s_{\tau < t})$  $P_t^L = \frac{1}{\Omega} \mathcal{N}(s_t; \theta_t = \theta_L, \sigma^2) \left[ (1 - p_s) P_{t-1}^L + p_s (1 - P_{t-1}^L) \right]$ 

 $P_{t}^{L} = \frac{1}{\Omega} \mathcal{N}(s_{t}; \theta_{t} = \theta_{L}, \sigma^{2}) \left[ (1 - p_{s}) P_{t-1}^{L} + p_{s} (1 - P_{t-1}^{L}) \right]$ likelihood that prior prior probability of probability of observed stimulus LOW context **HIGH context** was generated in LOW context probability

posterior probability of LOW context

that context stayed LOW

probability that context changed to HIGH

 $P_{t}^{L} = \frac{1}{\Omega} \mathcal{N}(s_{t}; \theta_{t} = \theta_{L}, \sigma^{2}) \left[ (1 - p_{s}) P_{t-1}^{L} + p_{s} (1 - P_{t-1}^{L}) \right]$ 

### posterior - - - C likelihood

how probable are your hypotheses about state of the world? how probable are your measurements given your hypotheses?

Ζ 🛉

\*see Wei Ji's tutorial from Cosyne 2019



Χ



**David Mack** 

 $P_{t}^{L} = \frac{1}{\Omega} \mathcal{N}(s_{t}; \theta_{t} = \theta_{L}, \sigma^{2}) \left[ (1 - p_{s}) P_{t-1}^{L} + p_{s} (1 - P_{t-1}^{L}) \right]$ 



#### encoding

what features should be prioritized to maximize information?



 $P_{t}^{L} = \frac{1}{\Omega} \mathcal{N}(s_{t}; \theta_{t} = \theta_{L}, \sigma^{2}) \left[ (1 - p_{s}) P_{t-1}^{L} + p_{s} (1 - P_{t-1}^{L}) \right]$ 



Mlynarski & AMH, 2018

### encoding -

what features should be prioritized to toateistilzepiptbessesion?

### posterior

how probable are your hypotheses about state of the world?



## PART 1

### remove redundancy combat noise



## **PART 2** resolve ambiguity

## PART 3

make & use predictions

gather information (e.g. infotaxis) maximize reward (e.g. reinforcement learning)

> Vergasola, Villermaux, Shraiman, 2007 Sutton & Barto, 2018






### Sutton & Barto, 2018









Sutton & Barto, 2018





## day 1, trial 1





### day 5, trial 10 https://tinyurl.com/vfwo8ze

### day 1, trial 1





### day 5, trial 10 https://tinyurl.com/vfwo8ze



Ofstad, Zuker & Reiser, Nature (2011) \* fly tracking by Ctrax (Branson et al. 2009)













actions a









gr

softmax:

actions *a* 

 $\pi(\boldsymbol{a}|\boldsymbol{s})$ 





 $q_{\pi}(s, a)$ 

## explore / exploit tradeoff

- exploit: take action that gives highest expected value
- explore: take action that has lower expected value but could result in higher long-term payoff

eedy: 
$$A = \operatorname*{argmax}_{a} q_{\pi}(s, a)$$
 exploit

 $\epsilon$ -greedy:  $(1-\epsilon)$  A = greedy exploit  $\epsilon \quad A = random$ explore

$$egin{aligned} \pi(a|s) &\propto \exp\left(eta \, q_{\pi}(s,a)
ight) \ η &
ightarrow & \exp(eta \, q_{\pi}(s,a)) \ η &\eta &\e$$



# $G_t$ = return, starting at time t $= R_{t+1} + R_{t+2} + R_{t+3} + \dots$



### all rewards equally important

 $G_t$  = return, starting at time t $= R_{t+1} + R_{t+2} + R_{t+3} + \dots$  $= R_{t+1} + R_{t+2} + R_{t+3} + \dots$ 



### all rewards equally important

 $G_t$  = return, starting at time t  $= R_{t+1} + R_{t+2} + R_{t+3} + \dots$  $= R_{t+1} + \gamma R_{t+2} + \gamma^2 R_{t+3} + \dots$ 



all rewards equally important

- current rewards more important than distant ones discount factor  $\gamma \in [0, 1]$  $\gamma = 1$  don't discount (far sighted)
  - $\gamma = 0$  fully discount (myopic)



# $G_t$ = return, starting at time t $= R_{t+1} + R_{t+2} + R_{t+3} + \dots$ $= R_{t+1} + \gamma R_{t+2} + \gamma R_{t+3} + \dots$ $G_{t+1}$

 $G_t = R_{t+1} + \gamma G_{t+1}$ 



all rewards equally important

current rewards more important than distant ones

discount factor  $\gamma \in [0, 1]$ 

- $\gamma = 1$  don't discount (far sighted)
- $\gamma = 0$  fully discount (myopic)



 $G_t$  represents actual future rewards (unknown to agent) consider one timestep in the future:

$$\mathbb{E}_{\pi}[R_{t+1}|S_t = s] = \sum_{a} \pi(a|s) \sum_{s'} p(s'|s, a) r(s, a, s')$$

$$\frac{a}{policy} \frac{f_{s'}(s'|s, a)}{policy} reward$$

$$G_t = R_{t+1} + \gamma G_{t+1}$$

- can instead compute expected future rewards, starting in state s, following policy  $\pi$





# define state-value function, starting in state s, following policy $\pi$ $v_{\pi}(s) \equiv \mathbb{E}_{\pi} \left[ egin{array}{c|c} G_t & S_t = s \end{array} ight]$ prediction of rewards to come

 $G_t = R_{t+1} + \gamma G_{t+1}$   $\mathbb{E}_{\pi} [R_{t+1} | S_t]$ 

$$= s] = \sum_{a} \pi(a|s) \sum_{s'} p(s'|s, a) r(s, a),$$



# define state-value function, starting in state s, following policy $\pi$ $v_{\pi}(s) \equiv \mathbb{E}_{\pi} \left[ R_{t+1} + \gamma G_{t+1} \right] S_{t} = s$

# $\mathbb{E}_{\pi}[R_{t+1}|S_t]$



$$= s] = \sum_{a} \pi(a|s) \sum_{s'} p(s'|s, a) r(s, a)$$



## define state-value function, starting in state s, following policy $\pi$

$$v_{\pi}(s) \equiv \mathbb{E}_{\pi} \left[ R_{t+1} + \gamma G_{t+1} \middle| S_t \right]$$

$$= \sum_{a} \pi(a|s) \sum_{s'} p(s'|s,a)$$

$$+ \gamma \mathbb{E}_{\pi} \quad G_{t+1}$$



 $v_{\pi}(s')$ 

# define state-value function, starting in state s, following policy $\pi$ $v_{\pi}(s) \equiv \mathbb{E}_{\pi} | R_{t+1} + \gamma G_{t+1} | S_t = s$

$$=\sum_{a} \pi(a|s) \sum_{s'} p(s'|s,a)$$

### **Bellman equation**

for state-value function

 $r(s, a, s') + \gamma v_{\pi}(s')$ 

### define state-value function, starting in state s, following policy $\pi$

$$v_{\pi}(s) = \sum_{a} \pi(a|s) \sum_{s'} p(s'|s, a)$$

given p(s'|s,a), r(s,a,s'),Dynamic Programming: learn optimal  $v_*, q_*, \pi_*$  via bootstrapping

Monte Carlo:

estimate  $v_*, q_*$  via sampling, learn optimal  $\pi_*$  from simulated experiences

 $r(s, a, s') + \gamma v_{\pi}(s')$ 

state-value function

 $q_{\pi}(s, a)$ 

action-value function

temporal difference (TD)

learns from experience via bootstrapping



define state-value function, starting in state s, following policy  $\pi$  $r(s, a, s') + \gamma v_{\pi}(s')$  state-value function

$$v_{\pi}(s) = \sum_{a} \pi(a|s) \sum_{s'} p(s'|s, a)$$

temporal difference (TD) : improve estimate of value through experience





define state-value function, starting in state s, following policy  $\pi$  $r(s, a, s') + \gamma v_{\pi}(s')$ 

$$v_{\pi}(s) = \sum_{a} \pi(a|s) \sum_{s'} p(s'|s, a)$$

 $V_{t+1}(s) = V_t(s) + \alpha \left( (R + \gamma V) \right)$  $Q_{t+1}(s, a) = Q_t(s, a) + \alpha \left[ (R + \gamma Q_t) \right]$ 

prediction error  $\delta_{t}$ 

state-value function

$$V_t(s')$$
) -  $V_t(s)$ 

$$\left(s', a'\right) - Q_t(s, a)$$

Q-learning, SARSA





here, states can only be updated as they are visited to update states that were visited in the past, we can use eligibility traces

 $V_{t+1}(s) = V_t(s) + \alpha \left[ \left( R + \gamma V_t(s') \right) - V_t(s) \right] Z_t(s)$ 

 $Z_t(s) = \begin{cases} \lambda \gamma Z_{t-1}(s) & s \neq S_t \\ 1 + \lambda \gamma Z_{t-1}(s) & s = S_t \end{cases}$ 



time

"eligibility" of state *S* 

- trace-decay parameter  $\lambda \in [0, 1]$ 
  - $\lambda = 0$  only current state can be updated
  - $\lambda = 1$  eligibility falls by  $\gamma$ each timestep

here, states can only be updated as they are visited to update states that were visited in the past, we can use eligibility traces

 $V_{t+1}(s) = V_t(s) + \alpha \left( R + \gamma V_t(s') \right)$ 





$$\left( ig) - V_t(s) 
ight] \quad Z_t(s) \; = \left\{ egin{array}{c} \lambda \gamma Z_{t-1}(s) & s 
eq S_t \ 1 + \lambda \gamma Z_{t-1}(s) & s = S_t \end{array} 
ight\}$$







 $\lambda > 0$ 





Ofstad, Zuker & Reiser, Nature (2011) \* fly tracking by Ctrax (Branson et al. 2009)

### day 1, trial 1



day 5, trial 10 https://tinyurl.com/vfwo8ze

back at 2:40

# problem set & code http://bit.ly/cosyne2020-tutorial

# wifi Hilton Honors Meeting csnventi20

# worksheet (handout)

# outline

- 1 problem setup
- 2 sensory coding
- 3 | inference
- 4 action selection

# do these first!

\* come back to these after you've finished all 3 sections

# problem set



# worksheet



# problem recap





forest



field



frequency f



frequency f



frequency f























### context

preserve information about frequency





preserve information about context

Mlynarski & AMH, 2018









### frequency

preserve information about context

Mlynarski & AMH, 2018





Mlynarski & AMH, 2018







### \*simulations and movie by Sashank Pisupati





### \*simulations and movie by Sashank Pisupati




## a huge thanks to all the TAs!

**Diego Arribas** Zoe Ashwood **Pierre-Etienne Fiquet Caroline Haimerl** Anna Kutschireiter Tzuhsuan Ma (Maz) Jorge Menendez Josue Nassar Marcella Noorman Sashank Pisupati Satpreet Singh Charline Tessereau